

Molecular and Cellular Mechanisms of Systemic Autoimmune Diseases: Lessons Learned from Human Genetic Studies and Therapies in Systemic Lupus Erythematosus (SLE)

Abstract

Systemic Lupus Erythematosus (SLE) is a prototypical systemic autoimmune disease characterized by a complex interplay of genetic, molecular and cellular factors. It is considered a multifactorial disorder influenced by genetic susceptibility, environmental triggers and dysregulated immune responses. Understanding the molecular and cellular mechanisms driving SLE pathogenesis has been instrumental in developing targeted therapies to manage the disease and improve patient outcomes. In this review, we will delve into the intricate molecular and cellular processes underlying SLE and examine the key lessons learned from human therapies.

Keywords: Systemic Lupus Erythematosus (SLE); Type I interferon response; Transmembrane Activator and CAML Interactor (TACI); B cell Activating Factor (BAFF)

Introduction

Systemic Lupus Erythematosus (SLE) is a chronic autoimmune disease in which the body's dysregulated immune system mistakenly attacks healthy tissue in many parts of the body, resulting in inflammation and damage to multiple organs including the kidney as in Lupus Nephritis (LN) [1].

Perhaps one of the most important breakthrough findings in SLE etiology was the discovery in 1948 by researchers at the Mayo clinic of the Lupus Erythematosus (LE) cell, which were described as white blood cells collided the nucleus of another cell that was pushed against the white blood cell proper nucleus [2]. The invading cell coated with an antibody (now known as anti-nuclear antibody), that allowed it to be ingested by a phagocytic or scavenger cell. This discovery led to one of the first diagnostic tests as an anti-nuclear antibody for lupus since LE cells were found in approximately 60% of all people diagnosed with lupus [3].

The goal of SLE therapy is to control the symptoms and prevent organ damage. The treatment approach for SLE typically

involves a combination of medications. Non-steroidal Anti-Inflammatory Drugs (NSAIDs) such as ibuprofen or naproxen help relieve mild joint pain, inflammation and fever associated with SLE. Corticosteroids such as prednisone, are often prescribed at high doses for SLE flare-ups to reduce inflammation. Steroids are usually used for short periods due to potential long-term side effects. Antimalarial drugs like hydroxychloroquine (Plaquenil) help control skin rashes, joint inflammation and fatigue and may have a protective effect on organs like the kidneys. For more severe cases of SLE, immunosuppressant medications may be used: Methotrexate, azathioprine, mycophenolate mofetil and cyclophosphamide. These medications suppress the immune system to reduce inflammation and prevent organ damage. Most drugs approved for other diseases are used for SLE "off-label", except that hydroxychloroquine was approved by the FDA for lupus in 1955 [5].

Due to the poor therapeutic efficacy and prominent adverse reactions after long-term use of glucocorticoid combined immunosuppressant's, the development of targeted drug use for SLE has been in great need. It's important to note that SLE is a complex condition with multi-organ manifestation and the cellular and molecular mechanisms underlying SLE are not fully understood and are extremely complex. Since the FDA approval of hydroxychloroquine, for the next 56 years, no other drugs were approved for SLE till belimumab (Benlysta, anti-BAFF) was approved by the FDA in 2011 [6]. More recently in 2021, anifrolumab (Saphnelo) (anti-type I interferon) was approved for SLE. Voclosporin (Lupkynis, calcineurin inhibitor) and belimumab (Benlysta) were approved for lupus nephritis (SLE with renal manifestation) [7].

Research undertaken in various murine models shed light on the disease mechanism of SLE leading to potential therapies. On the other hand, given the differences of human and murine immune systems and SLE patient heterogeneity, an important aspect of searching for cure for SLE resides in deeper understanding of human disease data. In addition, analysis of recent clinical trial results provides better understanding of human SLE disease mechanisms and insights in better patient treatment.

Literature of Review

Genome-wide association studies discovered risk gene alleles

Rate of SLE varies between countries from 20 to 70 per 100,000 [1]. SLE is presumably caused by a genetic susceptibility coupled with an environmental trigger which results in defects in the immune system. SLE is a heterogeneous autoimmune disease with elevated prevalence in women about nine times more often than men [8]. It is also more prevalent in individuals of Asian, African and Hispanic ancestry. Systemic Lupus Erythematosus (SLE), a worldwide autoimmune disease with high heritability, shows differences in prevalence, severity and age of onset among different ancestral groups with estimates of its heritability ranging from 43% to 66% [9,10].

Genetic factors play a crucial role in determining an individual's susceptibility to SLE, even though the cause is not linked to a single gene. More than 90 loci have been shown to be associated with SLE through Genome-Wide Association Studies (GWAS) mostly in European descendants [11]. Variations in genes encoding immune-related molecules, including MHC/HLA alleles, cytokines and complement components, have been shown to be associated with SLE. These genetic variants can have negative impacts on immune activation and regulation leading to a breakdown of self-tolerance and the development of autoimmune diseases.

Recent study in China identified 38 unique loci [12]. Some risk alleles reported from studies on European populations, such as those in or near PTPN22, NCF2, SH2B3 and TNFSF13B, are absent in East Asian populations [13] while a missense variant in TYK2 kinase is specific for European-specific disease association [14-16]. High level functional annotation of these SLE associated loci implicated hematological cells, particularly B and T lymphocytes, cytokine signaling and other immune system pathways. Interestingly, the risk allele in the gene encoding BAFF is completely absent in Chinese populations and a missense variant in the gene encoding TACI (TNFRSF13B) was found to be specifically associated with SLE in East Asians [12,13]. Intriguingly, these differences in genetic background might serve as potential indicators of targeted therapies for SLE.

Gene expression analysis revealed patient heterogeneity in immune dysregulation

In SLE, the immune system loses its ability to differentiate between self and foreign antigens, resulting in the production of auto-antibodies against nuclear antigens like double-stranded DNA, histones and ribonucleoproteins. B cells are central players in the production of autoantibodies. Aberrant activation and survival of autoreactive B cells, as well as defective clearance mechanisms for apoptotic debris, contribute to the accumulation of immune complexes and sustained inflammation.

Peripheral blood gene expression analyses have shown the heterogeneity of the patient population [17,18]. Studies have

shown that patients appear to have a conserved gene signature over time. Even in patients at quiescent phase, clear immune dysregulation persists. One recent study done in longitudinal clinical and transcriptional profiling of patients with systemic lupus reveals molecular correlates of disease activity and progression by clinical and gene transcriptional profiling of 158 lupus patients up to a period of 4 years [19]. Neutrophil-related signatures are associated with progression to active nephritis. Molecular correlates of disease activity stratify patients into seven major groups. Clustering of the inter-individual Systemic Lupus Erythematosus Disease Activity Index (SLEDAI) correlation matrix identified seven major groups, each displaying a specific combination of immune signatures correlating with the SLEDAI, including erythroid, IFN response, myeloid lineage/neutrophils/plasmablasts and lymphoid lineage. A prevalent IFN signature and plasmablast signature as the most robust biomarker. A gradual enrichment of neutrophil transcripts during progression to active nephritis and distinct signatures in response to treatment in different nephritis subclasses were also observed.

Recent developments in single cell RNA sequencing (scRNAseq) gave more granularity of dysregulated immune pathways. SLE cases exhibited differences in both the composition and state of PBMCs. Analysis of lymphocyte composition revealed a reduction in naïve CD4⁺ T cells and an increase in repertoire restricted GZMH⁺CD8⁺ T cells. Analysis of transcriptomic profiles across eight cell types revealed that classical monocytes expressed the highest levels of both pan-cell type and myeloid-specific type 1 Interferon Stimulated Genes (ISGs). The expression of ISGs in monocytes was inversely correlated with naïve CD4⁺ T cell abundance.

Lessons learned from human therapies

According to clinicaltrial.gov, the SLE drug development landscape is booming with over 40 drugs in the clinic. The LN development landscape also has 13 drugs in active development toward US/EU approval, with four active phase III trials anticipated to complete dosing by 2027. The current research and development strategies being pursued are diverse including many novel mechanisms of action. We will focus on a few major clinical breakthrough treatments in connection with related findings from research, in particular large scale genetic studies discussed above.

B cell targeting therapy: BAFF, TACI: Since B-cells play a significant role in the pathogenesis of SLE, these molecules like BAFF and TACI, are considered a prime target for therapeutic benefit [19,20]. BAFF (TNFSF13B) and its receptors, one of which is TACI (Transmembrane Activator and CAML Interactor, TNFRSF13B), play essential roles in B cell survival and differentiation. Belimumab (Benlysta), a drug that is currently approved for SLE and LN, causes inhibition of B-cell survival factors BAFF [21].

An important feature about BAFF and TACI shown previously in genetic studies is that SLE risk allele in the gene encoding BAFF is completely absent in Chinese populations and a missense variant in the gene encoding TACI (TNFRSF13B) was found to be specifically associated with SLE in East Asians [12]. A

natural question to ask is whether these genetic differences would lead to differences in response to targeted drugs. Although Belimumab has shown huge success, other molecules that present similar MOA as belimumab, such as Anthera Pharmaceuticals' blisibimod, did not show significant improvements in the composite endpoint, SLE Responder Index (SRI), for patients with SLE [22]. Patient genetic background may very well play a significant role influencing on efficacy in different clinical trials.

Previously, atacicept (a TACI fusion protein by MERCK, binds to and neutralizes the activity of two B cell-signaling molecules, BAFF and APRIL, thereby suppressing the development and survival of plasma cells and mature B cells) treatment showed evidence of efficacy in SLE, particularly in HDA and serologically active patients [23]. Reductions in disease activity and severe flare were observed with Atacicept treatment, with an acceptable safety profile. Unfortunately, it was not pursued further by MERCK until recently out-licensed to Veera therapeutics, which again deprioritized its trial in SLE/LN recently.

Interestingly, Telitacicept (Tai'ai®), a TACI fusion protein similar to atacicept, received its first approval in China for the treatment of patients with active SLE [24]. In humans, TACI is expressed at very low levels in new-borns prior to exposure to pathogens [25]. TACI blockers might give better response to SLE in patients of Asian ancestry. In addition, the variant found in TNFRSF13B may be a useful prognostic genetic marker for the treatment efficacy of BAFF and TACI blockers. Multinational follow-up trials of telitacicept will further understand the mechanism of action and find predictive biomarkers for potential response to the drug [26].

Interferon blockade therapy: Type I interferon gene signature was indicated as one of most robust biomarkers by previous gene profiling studies in SLE patients. An estimate of 50-70% of adult and pediatric SLE patients have a hyper-regulated IFN signature that correlates with disease activity and severity [27]. These cytokines are essential for antiviral responses but can also trigger autoimmune reactions when dysregulated via upregulation of pro-inflammatory genes.

Type I IFNs are a family of cytokines binding to a common receptor, IFN- α receptor (IFNAR) in mounting immune responses to antiviral infections [28]. IFN- α is produced mostly by plasmacytoid Dendritic Cells (pDCs) and less by myeloid DCs, monocytes and macrophages [29], genome wide association studies in SLE patients has demonstrated Single Nucleotide Polymorphisms (SNPs) in loci near IFN related genes [11].

Given the significance of type I IFN signaling in SLE pathogenesis, therapies targeting the IFN pathway have emerged as promising candidates. Anifrolumab, a monoclonal antibody that blocks the IFN receptor, has shown positive results in clinical trials and is approved by the FDA [30]. By inhibiting the IFN pathway, anifrolumab can potentially reduce inflammation and disease activity in SLE patients.

An alternative way of inhibiting inflammation is *via* type I interferon receptor associated kinases. While prior genetic studies indicated TYK2 allele with a European-specific disease

association [14-16]. IFNAR is a transmembrane receptor consisting of IFNAR1 and IFNAR2 that interact with a group of kinases called Janus Activated Kinases (JAKs) in the cytoplasm. IFNAR1 constitutively associates with Tyrosine Kinase 2 (TYK2) whereas IFNAR2 associates with JAK1 [31,32]. These JAKs can then activate a group of transcription factors called Signal Transducer and Activator of Transcription (STAT). Binding of IFN- α/β to the IFNAR results in auto-phosphorylation and activation of the IFNAR-associated JAKs, which in turn phosphorylate and activate STATs. The phosphorylated STATs form heterodimers or homodimers that translocate to the nucleus to induce transcription of IFN Stimulated Genes (ISGs). Belimumab (Sotykto), a medication by Biogen used for the treatment of moderate-to-severe plaque psoriasis, has demonstrated impressive efficacy in SLE/LN [33,34].

Targeting complement activation: Genetic analysis clearly indicates an association of complement system components with the development of SLE. ITGAM, C1q and MBL [11]. The complement system is an integral part of the innate immune response, contribute to the clearance of immune complexes. Complement dysfunction results in impaired ability in clearing apoptotic cell debris that may stimulate autoantibody production in Systemic Lupus Erythematosus (SLE).

In SLE, complement components, particularly C3 and C4, become dysregulated, leading to impaired immune complex clearance and increased inflammation [35,36]. This contributes to tissue damage and organ involvement, especially in the kidneys, known as lupus nephritis. In addition to the contribution of lymphocytes, deposition of Immune Complexes (ICs) and activation of the complement system are well-established processes involved in the pathogenesis of LN. In most tissue injury scenarios complement is activated through three well established major pathways: Classical, lectin and alternative, which merge into C3 and then C5 activation. C3 and C5. Both C3a and C5a are strong Chemoattractants for phagocytes which upon engagement discharge their stored proteases, Reactive Oxygen Species (ROS) and chemokines/cytokines to intervene local tissue injury.

Anti-C5 monoclonal antibody Eculizumab/Soliris showed renal function improvements in SLE patients [37]. More recently, Avacopan, previously developed by Chemocentryx and approved for Anti-Neutrophil Cytoplasmic Autoantibodies (ANCA)-associated vasculitis, is an orally administered small-molecule C5a Receptor (C5aR) antagonist that selectively blocks the effects of C5a through the C5aR, being tested in lupus [38].

Discussion

Diagnosis and treatment of SLE has great clinical challenges due to its complex pathogenesis involving a large array of genetic, molecular and cellular factors. Recent multi-omics technology development has enabled deeper understanding of the molecular and cellular mechanisms of SLE. Several experimental drugs have shown efficacy in patient trials. There are many lessons we can learn. Firstly, understanding patient heterogeneity may be the key to SLE treatment. For example, GWAS studies clearly showed that certain risk alleles (e.g., BAFF)

are present in one ethnic group but are absent in another ethnic group. This is likely linked to efficacy variability within and among trials in the patient population consisting of different ethnic groups. This should be considered in future clinical designs and specification of Intent-To-Treat (ITT) patient population. Secondly, targeted therapies in SLE have shown a lot of promise in improving current treatment. Potential combination therapy may further improve efficacy. Thirdly, advanced technology may translate to fast and precise diagnosis of SLE, where patient genetic signature will be included to assist rheumatologists to make treatment decisions.

Conclusion

Systemic Lupus Erythematosus (SLE) is a complex systemic autoimmune disease with complicated molecular and cellular mechanisms. Genetic studies reveal significant differences in SLE risk alleles among different patient populations. Gene expression analysis revealed patient heterogeneity in immune dysregulation which may be used to stratify patients for therapy. Through human therapies, valuable lessons have been learned about how to target specific components of the immune system to manage the disease effectively. However, more research is needed to develop personalized and safer therapies to improve the lives of SLE patients. Ongoing research, along with advancements in precision medicine, holds promise for a brighter future in the management of SLE and other systemic autoimmune diseases.

Acknowledgements

Authors thanks for helpful discussions and inputs from colleagues and fellow scientists.

References

- Danchenko N, Satia JA, Arora M, et al. (2006) Epidemiology of systemic lupus erythematosus: A comparison of worldwide disease burden. *Lupus* 15: 308-318.
- Carr RI (1986) *Lupus erythematosus. A handbook for physicians, patients, and their families*. 2nd edition, Lupus Foundation of America Inc. p: 15.
- Phillips RH (2012) *Coping with Lupus: A practical guide to alleviating the challenges of systemic lupus erythematosus*. 4th edition, Penguin Group, New York, USA, p: 24.
- Parisis A, Kostopoulou M, Alunno A, Aringer M, Bajero J, et al. (2019) 2019 update of the EULAR recommendations for the management of systemic lupus erythematosus. *Ann Rheum Dis* 78: 736-745.
- Vasudevan AR, Ginzler EM (2009) Established and novel treatments for lupus. *J Musculoskelet Med* 26: 291-296.
- Vincent FB, Morand EF, Mackay F (2012) BAFF and innate immunity: New therapeutic targets for systemic lupus erythematosus. *Immunol Cell Biol* 90: 293-303.
- US Food and Drug Administration (2021) Advancing health through innovation: New drug therapy approvals 2021.
- Lisnevskaja L, Murphy G, Isenberg D (2014) Systemic lupus erythematosus. *Lancet* 384: 1878-1888.
- Lawrence JS, Martins CL, Drake GL (1987) A family survey of lupus erythematosus. 1. Heritability. *J Rheumatol* 14: 913-921.
- Wang J, Yang S, Chen JJ, Zhou SM, et al. (2007) Systemic lupus erythematosus: A genetic epidemiology study of 695 patients from China. *Arch Dermatol Res* 298: 485-491.
- Kuo CF, Grainge MJ, Valdes AM, et al. (2015) Familial aggregation of systemic lupus erythematosus and coaggregation of autoimmune diseases in affected families. *JAMA Intern Med* 175: 1513-1519.
- Wang YF, Zhang Y, Li Z, Zhang J, Wang TU, et al. (2021) Identification of 18 novel loci for systemic lupus erythematosus and ethnic heterogeneity between ancestral groups. *Nat Commun* 12: 772.
- Wang YF, Lau J, Zhang Y (2019) Genetic studies on systemic lupus erythematosus in East Asia point to population differences in disease susceptibility. *Am J Med Genet C Semin Med Genet* 181: 262-268.
- Graham DS, Morris DL, Bhangale TR, Criswell LA, Syvänen A, et al. (2011) Association of NCF2, IKZF1, IRF8, IFIH1, and TYK2 with systemic lupus erythematosus. *PLoS Genet* 7: e1002341.
- Li Y, Chang YK, Shek KW, Lau YL (2011) Lack of association of TYK2 gene polymorphisms in Chinese patients with systemic lupus erythematosus. *J Rheumatol* 38: 177-178.
- Kyogoku C, Morinobu A, Nishimura K, Sugiyama D, Hashimoto H, et al. (2009) Lack of association between Tyrosine Kinase 2 (TYK2) gene polymorphisms and susceptibility to SLE in a Japanese population. *Mod Rheumatol* 19: 401-406.
- Banchereau R, Hong S, Cantarel B, Baldwin N, Baisch J, et al. (2016) Personalized Immunomonitoring uncovers molecular networks that stratify lupus patients. *Cell* 165: 551-565.
- Chaussabel D, Quinn C, Shen J, Patel P, Glaser C, et al. (2008) A modular analysis framework for blood genomics studies: Application to systemic lupus erythematosus. *Immunity* 29: 150-164.
- Perez RK, Gordon MG, Subramaniam M, Kim MC, Hartoularos GC, et al. (2022) Single-cell RNA-seq reveals cell type-specific molecular and genetic associations to lupus. *Science* 376: eabf1970.
- Bossen C, Schneider P (2006) BAFF, APRIL and their receptors: Structure, function and signaling. *Semin Immunol* 18: 263-275.
- Navarra SV, Guzmán RM, Gallacher AE, Hall S, Levy RA, et al. (2011) Efficacy and safety of belimumab in patients with active systemic lupus erythematosus: A randomised, placebo-controlled, phase 3 trial. *Lancet* 377: 721-731.
- Merrill JT, Shanahan WR, Scheinberg M, Kalunian KC, Wofsy D, et al. (2018) Phase III trial results with blisibimod, a

- selective inhibitor of B-cell activating factor, in subjects with Systemic Lupus Erythematosus (SLE): Results from a randomised, double-blind, placebo-controlled trial. *Ann Rheum Dis* 77: 883-889.
23. Merrill JT, Wallace DJ, Wax S, Kao A, Fraser PA, et al. (2018) Efficacy and safety of atacicept in patients with systemic lupus erythematosus: Results of a twenty-four-week, multicenter, randomized, double-blind, placebo-controlled, parallel-arm, phase IIb study. *Arthritis Rheumatol* 70: 266-276.
24. Akkoyunlu M (2012) TACI expression is low both in human and mouse newborns. *Scand J Immunol* 75: 368.
25. Kanswal S, Katsenelson N, Selvapandiyam A, Bram RJ, Akkoyunlu M (2008) Deficient TACI expression on B lymphocytes of newborn mice leads to defective Ig secretion in response to BAFF or APRIL. *J Immunol* 181: 976-990.
26. Li F (2022) Multi-omics studies on the efficacy of telitacicept in Chinese SLE patients.
27. Wahadat MJ, Bodewes ILA, Maria NI, van Helden-Meeuwssen CG, van Dijk-Hummelman A, et al. (2018) Type I IFN signature in childhood-onset systemic lupus erythematosus: A conspiracy of DNA- and RNA-sensing receptors? *Arthritis Res Ther* 20: 4.
28. Isaacs A, Lindenmann J (1957) Virus interference. I. The interferon. *Proc R Soc Lond B Biol Sci* 147: 258-266.
29. Cella M, Jarrossay D, Facchetti F, Alebardi G, Nakajima T, et al. (1999) Plasmacytoid monocytes migrate to inflamed lymph nodes and produce large amounts of type I interferon. *Nat Med* 5: 919-923.
30. Morand EF, Furie R, Tanaka Y, Ruce IN, Askanase AD, et al. (2020) Trial of anifrolumab in active systemic lupus erythematosus. *N Engl J Med* 382: 211-221.
31. Velazquez L, Fellous M, Stamenkovic GR, Pellegrini S (1992) A protein tyrosine kinase in the interferon alpha/beta signaling pathway. *Cell* 70: 313-322.
32. Domanski P, Witte M, Kellum J, Rubinszajn M, Hackett R, et al. (1995) Cloning and expression of a novel form of the beta subunit of the interferon alpha beta receptor that is required for signaling. *J Biol Chem* 270: 21607-21611.
33. Hoy SM (2022) Deucravacitinib: First approval. *Drugs* 82: 1671-1679.
34. Morand E, Pikielny M, Merrill JT, van Vollenhoven R, Werth VP, et al. (2023) Deucravacitinib, a tyrosine kinase 2 inhibitor, in systemic lupus erythematosus: A phase II, randomized, double-blind, placebo-controlled trial. *Arthritis Rheumatol* 75: 242-252.
35. Stein A, Khamis MM, Raza RV, Zack DJ (2021) A review of complement activation in SLE. *Curr Rheumatol Rep* 23: 16.
- Cook HT, Linton M (2006) Mechanisms of disease: The complement system and the pathogenesis of systemic lupus erythematosus. *Nat Clin Pract Rheumatol* 2: 330-337.
37. Sciascia B, Radin M, Yazdany J, Tektonidou M, Cecchi I, et al. (2017) Expanding the therapeutic options for renal involvement in lupus: Eculizumab, available evidence. *Rheumatol Int* 37: 1249-1255.
38. Lee A (2022) Avacopan: First approval. *Drugs* 82: 79-85.